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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF : David Allen et al.  
FOR : METHOD AND APPARATUS FOR DETECTION  
OF CONTAMINANTS IN A FLUID  
SERIAL NO. : 10/729,740  
FILED : December 5, 2003  
EXAMINER : Amy He  
ART UNIT : 2858  
ATTORNEY DOCKET NO. : ST8653.3US

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement has been filed pursuant to the waiver issued by the U.S. Patent and Trademark Office on July 11, 2003, wherein the Office waived the requirement under 37 CFR 1.98(a)(2)(i) for submitting copies of each cited U.S. patent and each U.S. patent application publication, for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have *entered the national stage* under 35 USC § 371 after June 30, 2003.

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached form PTO-1449. The required copy of each document is enclosed *except for* documents previously cited by or submitted to the Office in a prior application(s) relied upon for an earlier filing date under 35 U.S.C. 120, said prior application(s) identified below:

**Serial No.**

**Filing Date**

2. Pursuant to 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

3. Pursuant to 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed as an admission that the information cited in this Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

4. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- ☐ Copy of each English language version of a search report by a foreign patent office in a counterpart foreign application, indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."
- ☐ English-language abstract.
- ☐ 5. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:
  - ☐ concurrently with the filing of the national application; or
  - ☐ within 3 months of the filing date of a national application; or
  - ☐ within 3 months of the date of the entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
  - ☐ before the mailing date of a first Office Action on the merits.

{NOTE: Statements filed within the foregoing periods require neither a fee nor a Certification}

- ☒ 6. Pursuant to 37 C.F.R. 1.97(c) this Statement is being filed *after* the mailing date of a first Office Action on the merits but *before* the mailing date of either: a Final Office Action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first. Accordingly, this Statement is accompanied by:

☒ Certification as specified in 37 C.F.R. 1.97(e); OR

☐ The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

- ☐ 7. Pursuant to 37 C.F.R. 1.97(d) this Statement is being filed *after* the period specified in 37 C.F.R. 1.97(c), but *before or concurrent with* payment of the Issue Fee. Accordingly, this Statement is accompanied by:

A. Certification as specified in 37 C.F.R. 1.97(e); AND

B. The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

- ☐ 8. Statement filed *after* payment of Issue Fee, but *before* patent grant:

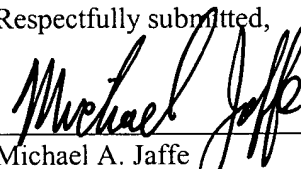
This Statement is being filed *after* payment of the Issue Fee, but *before* grant of the patent; accordingly it is understood that this Statement will *not* be considered. However, it is respectfully requested that this Information Disclosure Statement be placed in the application file. {NOTE: No fees or certification required}

(X) 9. CERTIFICATION under 37 C.F.R. 1.97 (e) (if applicable):

- () The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Information Disclosure Statement, OR
- (X) The undersigned hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

The Commissioner is hereby authorized to charge any additional fees required for consideration of this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0537, identifying our Docket No. ST8653.3US.

Respectfully submitted,



Michael A. Jaffe  
Registration No. 36,326

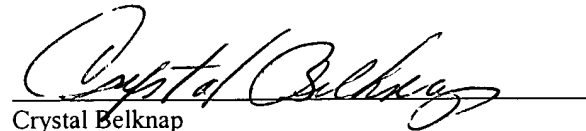
Date: October 29, 2004

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 29, 2004

  
Crystal Belknap

Form PTO-1449  
U.S. Application No.: 10/729,740  
Attorney Docket No.: ST8653.3US



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INFORMATION DISCLOSURE CITATION

In re application of: David Allen et al.

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Commissioner for Patents  
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U.S. Patent Documents

Examiner Initials	Document Number	Date	Name	Class
_____	5,997,685	12/07/1999	Radhamohan et al.	156/345
_____	6,162,409	12/19/2000	Skelley et al.	423/239.1
_____	6,706,648	03/16/2004	Yamazaki et al.	438/790
_____	US 2002/0033186 A1	03/21/2002	Verhaverbeke et al.	134/26
_____	US 2002/0076492 A1	06/20/2002	Loan et al.	427/255.28
_____	US 2002/0111040 A1	08/15/2002	Yamazaki et al.	438/783
_____	US 2002/0157686 A1	10/31/2002	Kenny et al.	134/1.3
_____	US 2003/0102007 A1	06/05/2003	Kaiser	134/1
_____	US 2003/0157587 A1	08/21/2003	Gomez et al.	435/30

**Form PTO-1449**

**U.S. Application No.: 10/729,740**

**Attorney Docket No.: ST8653.3US**

_____	US 2004/0029257 A1	02/12/2004	Dutil et al.	435/266
_____	US 2004/0079395 A1	04/29/2004	Kim et al.	134/30

Examiner:

Date Considered:

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**\*\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this  
form with next communication to Applicant.